

Filing Requirements Checklist

Case No. _____ Utility Name _____ Received Date _____ Form Circulation Date _____

- 1) Each Division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all Divisions.

	<u>Staff</u>	<u>Member</u>	<u>Date</u>
_____ Filings Division	_____		_____
_____ Financial Analysis	_____		_____
_____ Engineering	_____		_____
_____ Legal	_____		_____

Division <u>Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	Require- <u>ment Met</u> YES NO	Waiver <u>Requested</u>	F/A Approve <u>Waiver?</u> YES NO	90-Day Require- <u>ment Met</u> YES NO	Waiver of 90-Day Requirement <u>Requested</u>	F/A Approve <u>Waiver?</u> YES NO
807 KAR 5:001:								
Filings Division	Section 8(1)	Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval.	___	___	___	___	___	___
Filings Division	Section 8(2)	The original and 10 copies of the application with an additional copy for any party named therein as an interested party.	___	___	___	___	___	___

<u>Division</u> <u>Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Require-</u> <u>ment Met</u> YES NO	<u>Waiver</u> <u>Requested</u>	<u>F/A</u> <u>Approve</u> <u>Waiver?</u> YES NO	<u>90-Day</u> <u>Require-</u> <u>ment Met</u> YES NO	<u>Waiver of</u> <u>90-Day</u> <u>Requirement</u> <u>Requested</u>	<u>F/A</u> <u>Approve</u> <u>Waiver?</u> YES NO
Financial Analysis	Section 11(2)(c)	property. --Detailed estimates by USOA account number.	— —	—	— —	— —	—	— —

[illegible]

Division					F/A	90-Day	Waiver of	F/A
<u>Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Require-</u>	<u>Waiver</u>	<u>Approve</u>	<u>Require-</u>	<u>90-Day</u>	<u>Approve</u>
			<u>ment Met</u>	<u>Requested</u>	<u>Waiver?</u>	<u>ment Met</u>	<u>Requirement</u>	<u>Waiver?</u>
			YES NO		YES NO	YES NO	<u>Requested</u>	YES NO

IF APPLICANT IS A SEWER UTILITY, THE FOLLOWING ADDITIONAL FILING REQUIREMENTS APPLY:

807 KAR 5:071:

Financial Analysis	Section 3(3)(a)	Copy of amortization schedules of present and proposed indebtedness.	—	—	—	—	—	—	—
Financial Analysis	Section 3(3)(a)	A full and complete explanation of any corporate or business relationships between applicant and parent or brother-sister corporation, subsidiary(ies), a development corporation(s), or any other party or business to afford the PSC a full understanding of the situation.	—	—	—	—	—	—	—